

Risk-Based Survey Initial Pool Process – Limited Record Review

Care Area	Probe	Response Options
<i>Review the following areas for all residents.</i>		
Advance Directives	- Does the resident have an advance directive in place? - If information is kept in two places (e.g., EHR and the hard chart), ensure the information matches.	No Issues/NA Further Investigation
<i>Review the following areas for any resident marked as non-interviewable, refused, unavailable, or out of the facility.</i>		
Pressure Ulcers	- Did the resident develop a pressure ulcer in the facility <i>that is not healing</i>? - Did the resident have a pressure ulcer that worsened and hasn't improved recently? - Does the resident currently have a pressure ulcer that became infected in the facility? Note: Exclude Stage 1 <i>and</i> 2 pressure ulcers.	No Issues/NA Further Investigation MDS Discrepancy
Nutrition	Did the resident have an unplanned weight loss of 5% or more in the last month or 10% or more in the last 6 months <i>without any prompt nutritional interventions</i>? Note: Exclude residents currently receiving hospice or end of life services.	No Issues/NA Further Investigation MDS Discrepancy
Respiratory Infection	Does the resident currently have a respiratory infection <i>that was not identified and treated timely</i> ?	No Issues/NA Further Investigation MDS Discrepancy
Falls	Did the resident have a fall(s) with major injury in the last 120 days <i>without interventions added after the fall</i> ?	No Issues/NA Further Investigation MDS Discrepancy
ADL Decline	Has the resident had a decline in their bed mobility, transfer, eating or toilet use recently and is not receiving therapy or restorative for the decline in the last 120 days?	No Issues/NA Further Investigation MDS Discrepancy
Low Risk B&B	Is the resident incontinent of bowel or bladder and not at a high risk for incontinence issues <i>without interventions</i>? Note: High risk means the resident is cognitively impaired, receives hospice or end-of-life services, or requires extensive to total assistance from staff with bed mobility, transfers, toileting or locomotion.	No Issues/NA Further Investigation MDS Discrepancy
Unsafe Wandering/ Elopement	<i>Are there any concerns regarding unsafe wandering?</i> Has the resident eloped in the last 120 days?	No Issues/NA Further Investigation MDS Discrepancy
Change of Condition	Has the resident had a change of condition in the last 120 days that was not identified, monitored or treated appropriately?	No Issues/NA

Risk-Based Survey Initial Pool Process – Limited Record Review

Care Area	Probe	Response Options
		Further Investigation
Dialysis	<i>Does the resident's care plan fail to address any aspects of the resident's dialysis needs?</i>	No Issues Further Investigation NA MDS Discrepancy
Urinary Tract Infection (UTI)	Does the resident currently have a UTI <i>that was not identified and treated timely?</i>	No Issues/NA Further Investigation MDS Discrepancy
Hospitalization	Was the resident re-hospitalized in the last 120 days?	No Issues/NA Further Investigation MDS Discrepancy
Review the record <u>only</u> if the resident has the indicator present.		
Insulin	<i>Is there a concern with the residents' insulin?</i>	<i>No Issues/NA</i> <i>Further Investigation</i> MDS Discrepancy
Anti-coagulant	<i>Is there a concern with the resident's anticoagulant?</i>	<i>No Issues/NA</i> <i>Further Investigation</i> MDS Discrepancy
Anti-psychotic with Alzheimer's or Dementia	<i>Is there a concern if the resident is currently receiving an antipsychotic and has a diagnosis of Alzheimer's or dementia?</i>	<i>No Issues/NA</i> <i>Further Investigation</i> MDS Discrepancy
Antibiotic	<i>Is there a concern with the residents' antibiotic?</i>	<i>No Issues/NA</i> <i>Further Investigation</i> MDS Discrepancy
Antipsychotic with New Schizophrenia Diagnosis (for MDS indicator)	<i>Is there a concern if the resident is at least 65 years of age, is receiving an antipsychotic, and has a new diagnosis of schizophrenia?</i>	<i>No Issues/NA</i> <i>Further Investigation</i> MDS Discrepancy

Risk-Based Survey Initial Pool Process – Limited Record Review

Care Area	Probe	Response Options
No Antipsychotic with New Schizophrenia Diagnosis <i>(for MDS indicator)</i>	Is <i>there a concern that</i> the resident <i>is</i> at least 65 years or older and has a new diagnosis of schizophrenia since admission?	<i>No Issues/NA</i> <i>Further Investigation</i> MDS Discrepancy
PASRR	<i>Are there any concerns with the completion or outcome of the Level II PASRR for this resident with a serious mental illness, ID, or related condition?</i>	No Issues/NA Further Investigations MDS Discrepancy
For new admissions added to the Resident Listing (i.e., they don't have an MDS), review the following areas.		
<i>New Schizophrenia</i>	<i>Is there a concern if the resident is 65 years of age and has a new diagnosis of schizophrenia since admission?</i>	<i>No Issues/NA</i> <i>Further Investigation</i>
High Risk Meds	Are there any <i>concerns</i> with any of the following medications? <i>(Mark all that apply only if there's a concern)</i> Antipsychotic Antianxiety Antidepressant Hypnotic Anticoagulant Antibiotic Diuretic Insulin Opioids	<i>No Issues/NA</i> <i>Further Investigations</i> <i>If FI is selected:</i> Select all that apply.
Other	Did you have any additional concerns that you identified from the record?	No Issues/NA Further Investigation